

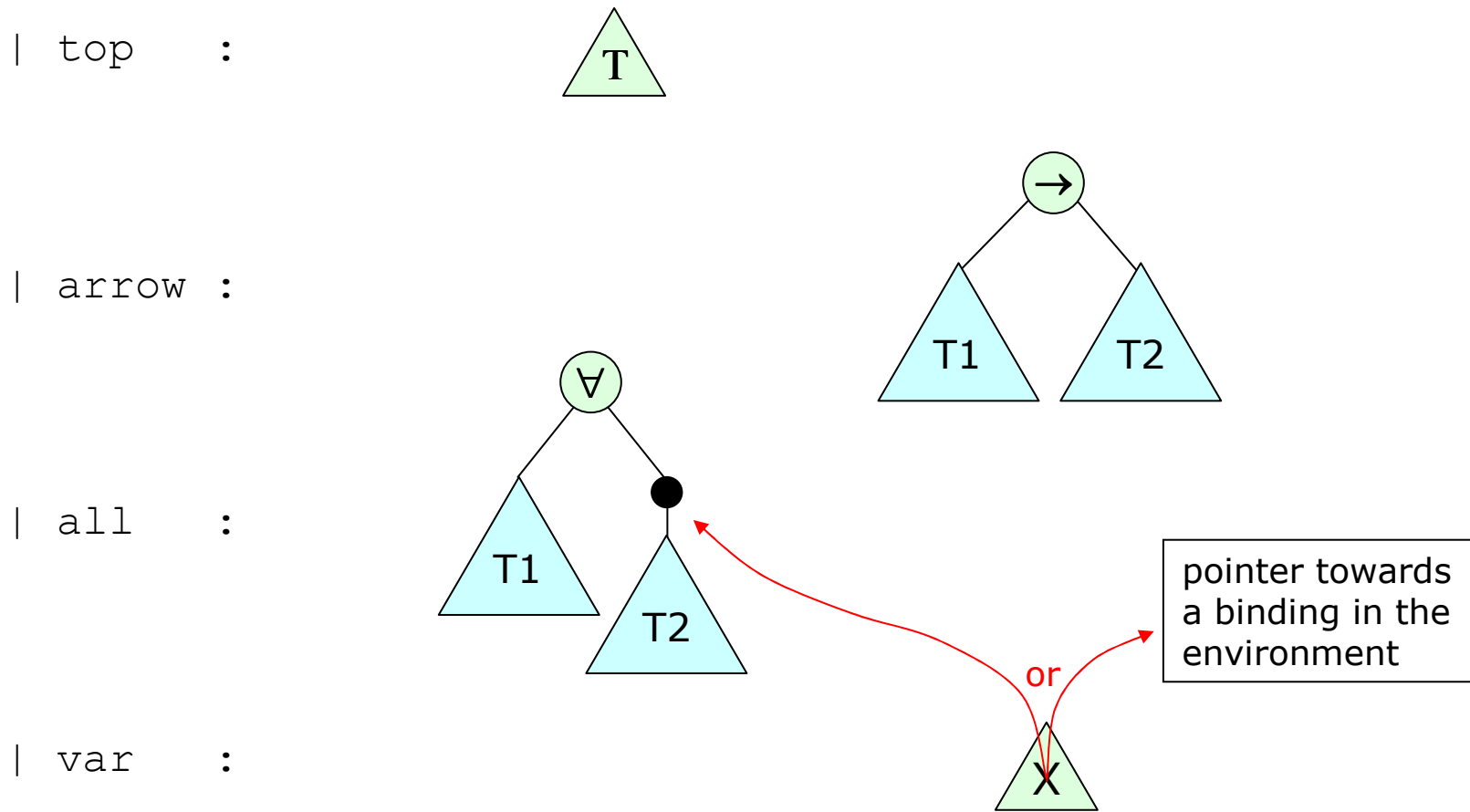
Solution using De Bruijn indices and implicit environments

Arthur Charguéraud

1) Representation of F_{\prec} :

Types in Fsub

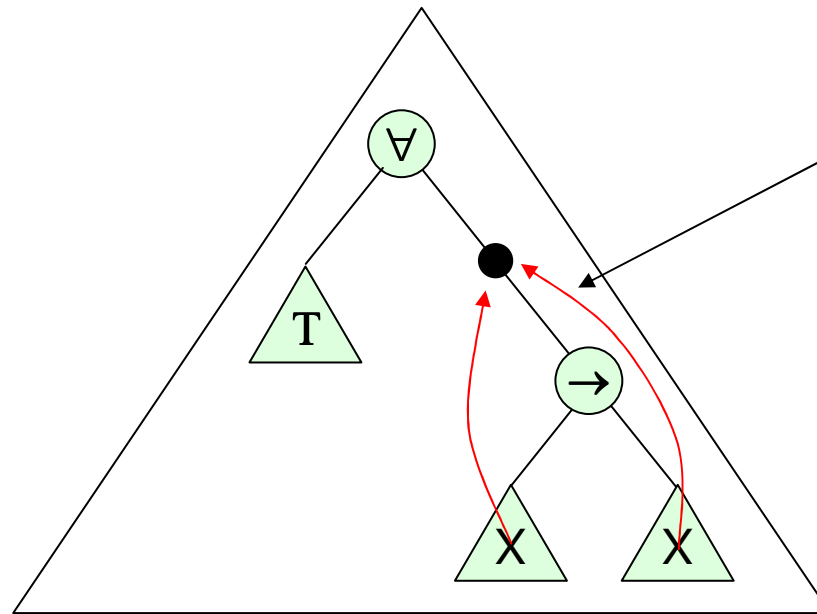
Inductive typ :=



Example of a closed type

Polymorphic identity:

$\forall x <: \text{Top}. (x \rightarrow x)$

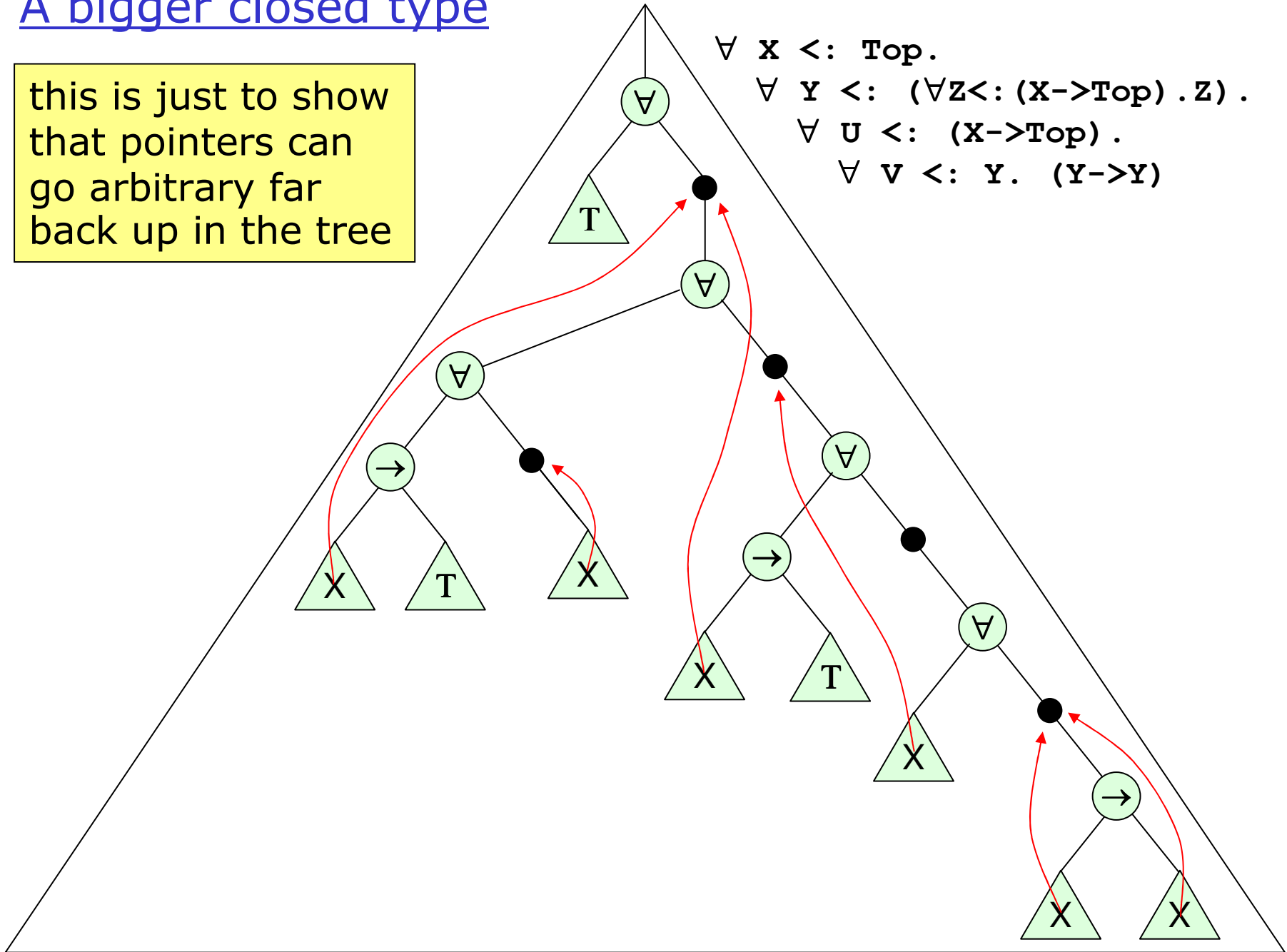


variables point back to a binder node higher in the tree

A bigger closed type

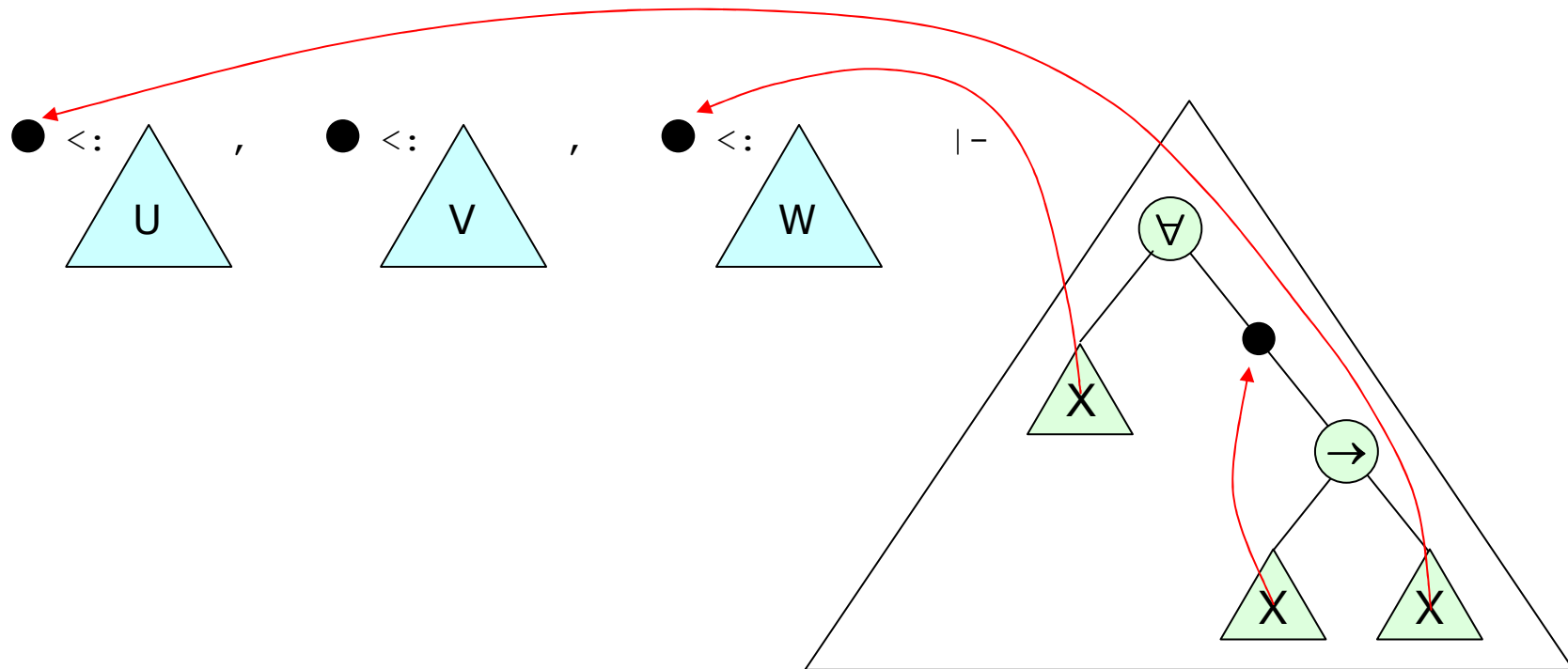
this is just to show that pointers can go arbitrary far back up in the tree

$\forall x <: \text{Top}.$
 $\forall Y <: (\forall Z <: (X \rightarrow \text{Top}) . Z) .$
 $\forall U <: (X \rightarrow \text{Top}) .$
 $\forall v <: Y . (Y \rightarrow Y)$



Environments and free variables

$x \prec: U, y \prec: V, z \prec: W \quad |- \quad \forall P \prec: Z. (P \rightarrow X)$



2) Formal definitions

a) Types and environments

Definition of types

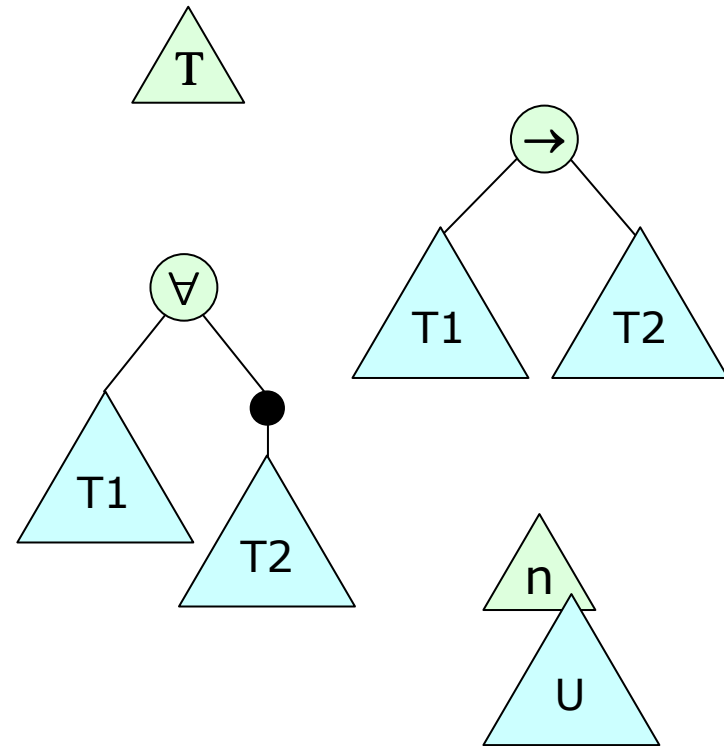
Inductive typ :=

| top : typ

| arrow : typ -> typ -> typ

| all : typ -> typ -> typ

| var : nat -> typ -> typ

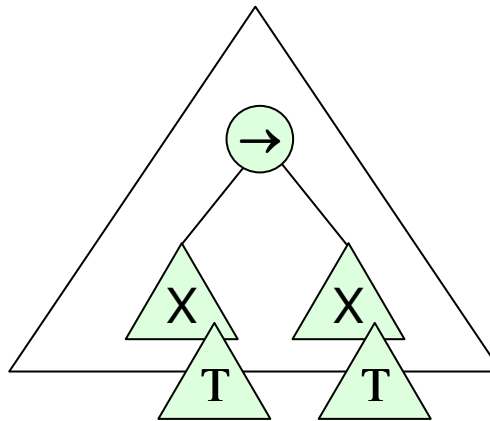


De Bruijn index
of the variable

type to which the variable is mapped to,
irrelevant if the variable is not free

Example using labels

Polymorphic identity:

$$X <: \text{Top} \quad |- \quad X \rightarrow X$$


to be written with labels as:

$$X <: \text{Top} \quad |- \quad X^{\text{Top}} \rightarrow X^{\text{Top}}$$

^ is the notation
for labels

Definition of environments

Parameter `env` : Set

Parameter `env_empty` : env

Parameter `env_push` : env -> typ -> env

environment
as lists

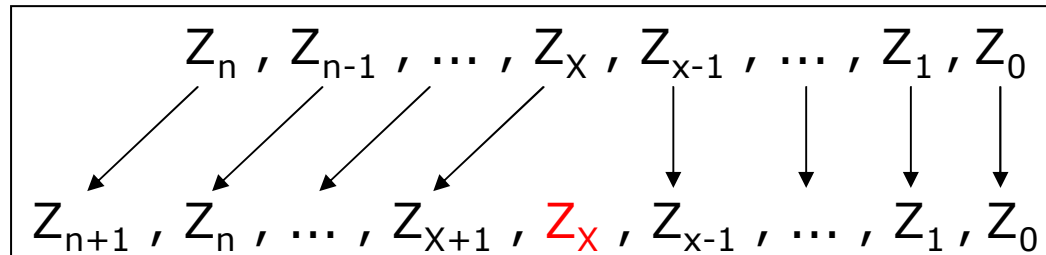
we don't need to give an implementation for type env, since labels on free variables carry all the information that we may need to use

Parameter `env_has` : env -> nat -> typ -> Prop

"env_has E X T" is a proposition which says that X is mapped to T in the environment E

b) Operations on types

Definition of insert



insert a binding at position X in the implicit environment

Fixpoint insert (X : nat) (T : typ) : typ :=

match T with

| top => top

| arrow T1 T2 => arrow (insert X T1) (insert X T2)

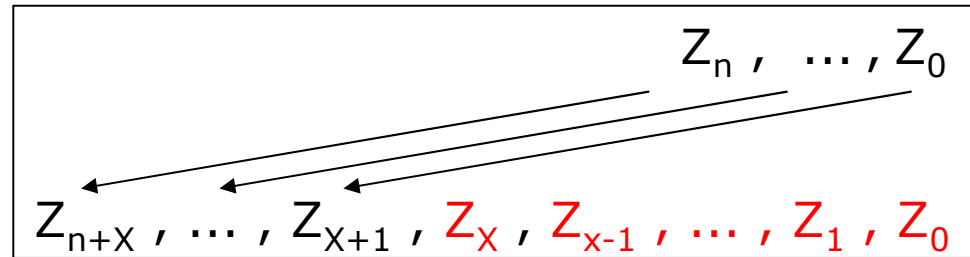
| all T1 T2 => all (insert X T1) (insert (S X) T2)

| var Y T1 => var (if le_gt_dec X Y then S Y else Y)
(insert X T1)

cross a binder

shift the index
in case $X \leq Y$

Definition of weaken



weaken introduces variables at end of the environment

```
Fixpoint weaken (X : nat) (T : typ) : typ :=
```

```
  match X with
```

```
  | 0 => insert 0 T
```

```
  | S P => insert 0 (weaken P T)
```

note that "weaken X" introduces X+1 variables;
this helps simplify some statements and proofs

Definition of update

"update X U T" puts a label U on all occurrences of X in T

Fixpoint update (X : nat) (U : typ) (T : typ) : typ :=

match T with

| top => top

| arrow T1 T2 => arrow (update X U T1) (update X U T2)


| all T1 T2 => all (update X U T1) (update (S X) U T2)

| var Y T1 => var Y (if eq_nat_dec X Y
then weaken Y U
else update X U T1)

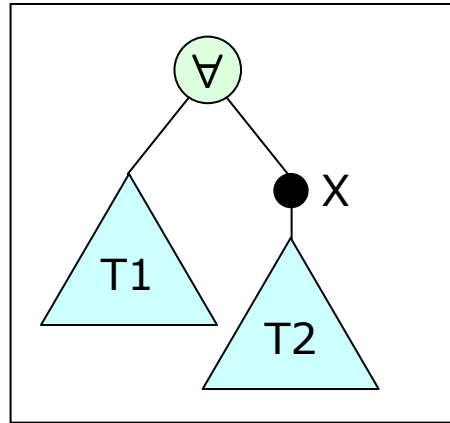
cross a binder



update the label
in case X=Y



Definition of push



"push T1 T2" labels all occurrences of X in T2 by T1

"push" is used to pass a binding when exploring a type

Definition `push := update 0.`

because X has De Bruijn index 0 relatively to T2

c) Well-formation

Well-formation of types

"wf E T" means "type T is well-formed in environment E"

Inductive wf : env -> typ -> Prop :=

| wf E top

| wf E T1 -> wf E T2 -> wf E (arrow T1 T2)

| wf E T1 -> (\forall U : typ, wf (env_push E U) (push U T2))
-> wf E (all T1 T2)

| env_has E X T1 -> wf E T1 -> wf E (var X T1)

if T1 is the label of the free variable X, then X must be mapped to type T1 in the environment E

we need to be able to map the variable bound in T2 not only to T1 but also to some other types (as needed by the rule SA-All)

Well-formation of environments

"wf_env E" holds if and only if E has been constructed by a succession of push of well-formed types

Inductive wf_env : env -> Prop :=

| wf_env env_empty

| wf_env E -> wf E U -> wf_env (env_push E U)

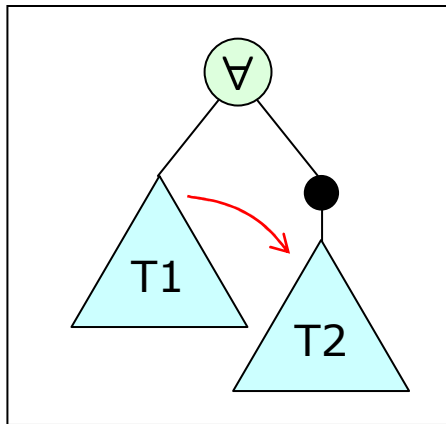
2) Proving results

a) Properties of the operations

Crossing push with insert and update

insert_on_push :

```
insert (S X) (push T1 T2)
= push (insert X T1) (insert (S X) T2)
```



LHS: we push T1 into T2 and get a type U, and then we insert at level X above U

RHS: we insert at level X above T1 and get T1', then insert at level X+1 above T2 and get T2', then we push T1' into T2'.

update_on_push :

```
update (S X) P (push T1 T2)
= push (update X P T1) (update (S X) P T2)
```

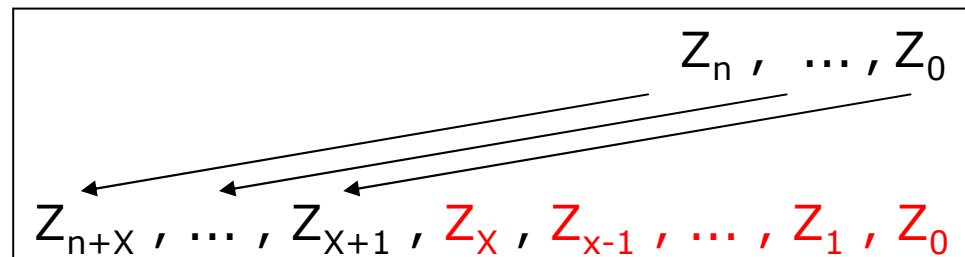
An equivalent result for update

Crossing update at weaken

update_at_weaken :

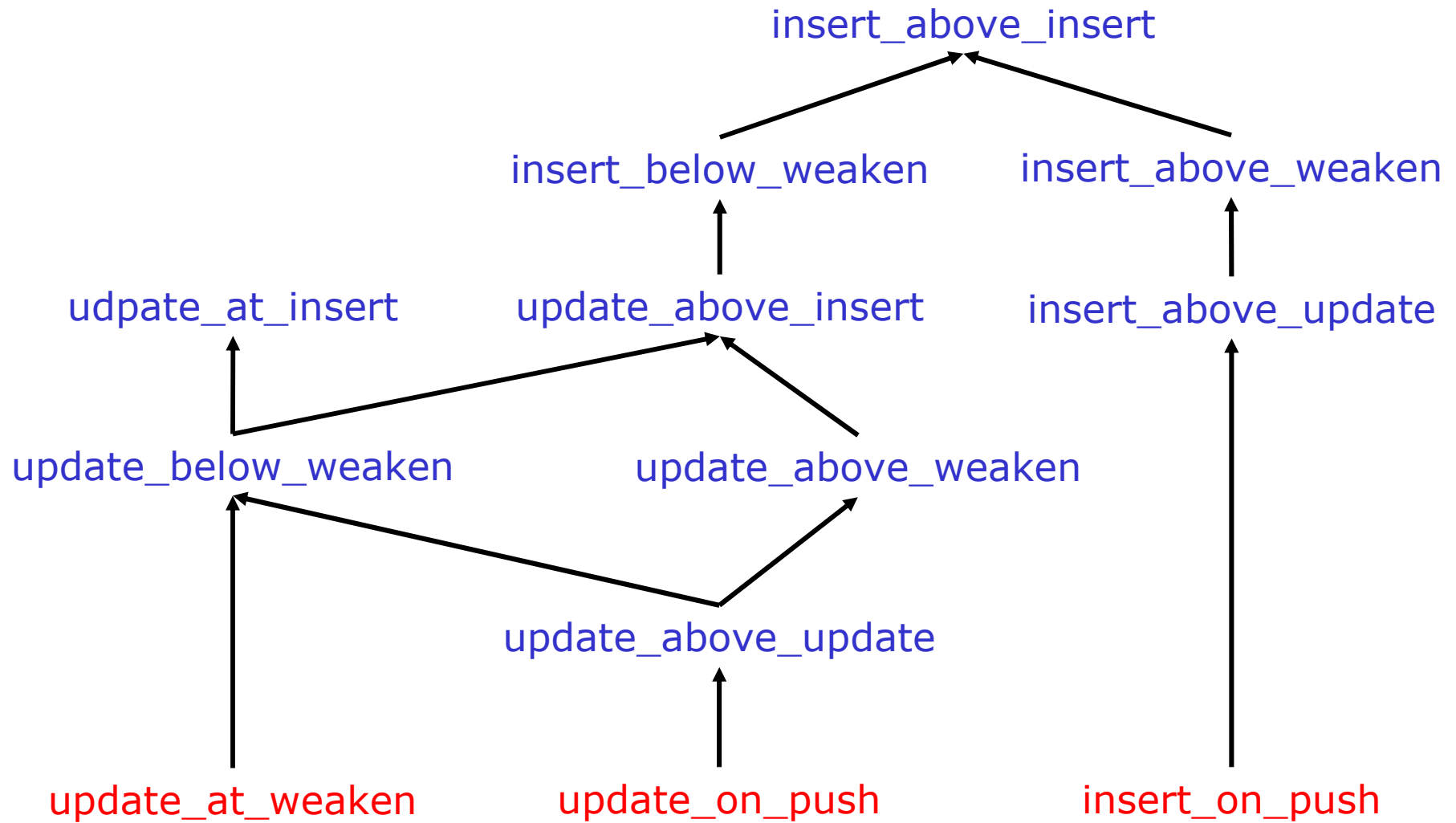
update X U (weaken X T)
= weaken X T

this lemma says that after we inserted $X+1$ variables at the end of the environment, then the function which will update all occurrences of variable with index X will change nothing: indeed, this variable does not appear in type T



we use this lemma to capture the fact that if we have an environment of the form " $\Gamma_1, X <: T, \Gamma_2$ " then X has no occurrence in T (we need that to prove narrowing)

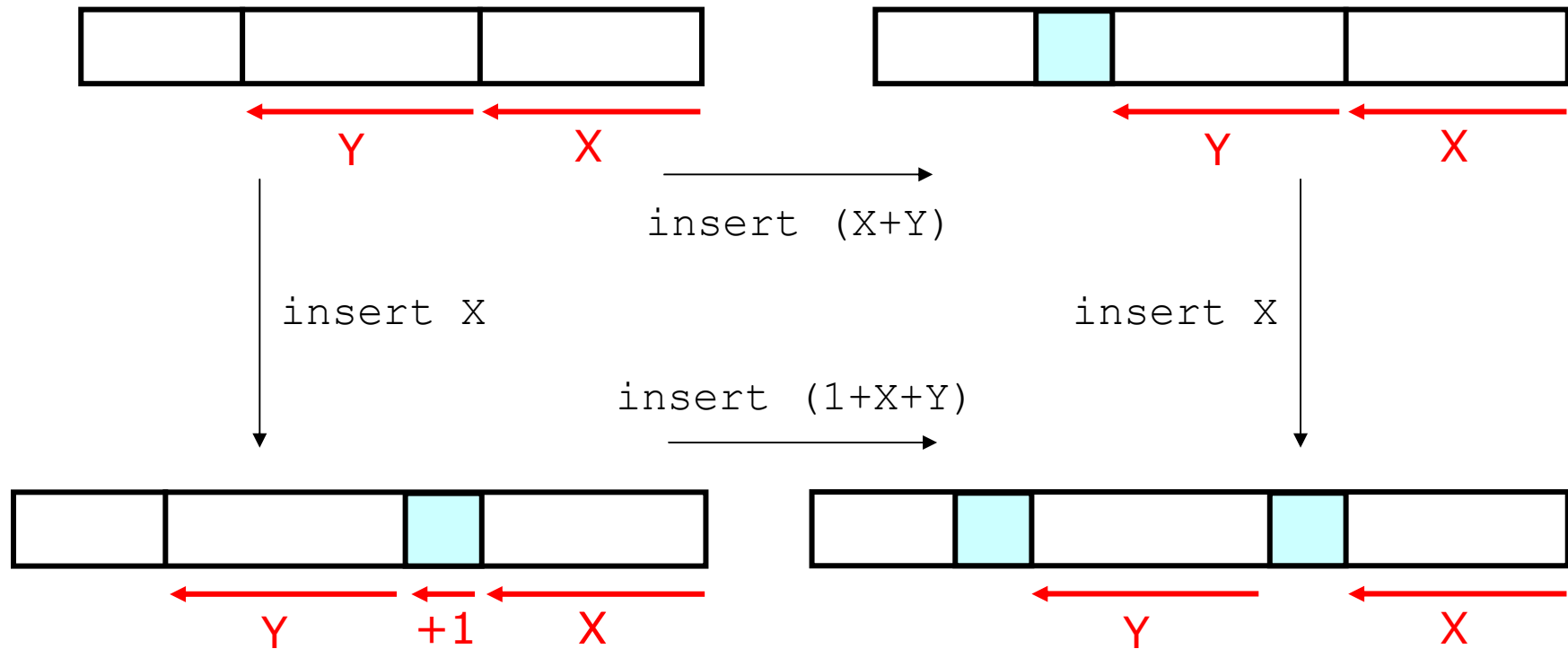
Proof graph for the crossing lemmas



Example of a crossing lemma

Lemma insert_above_insert :

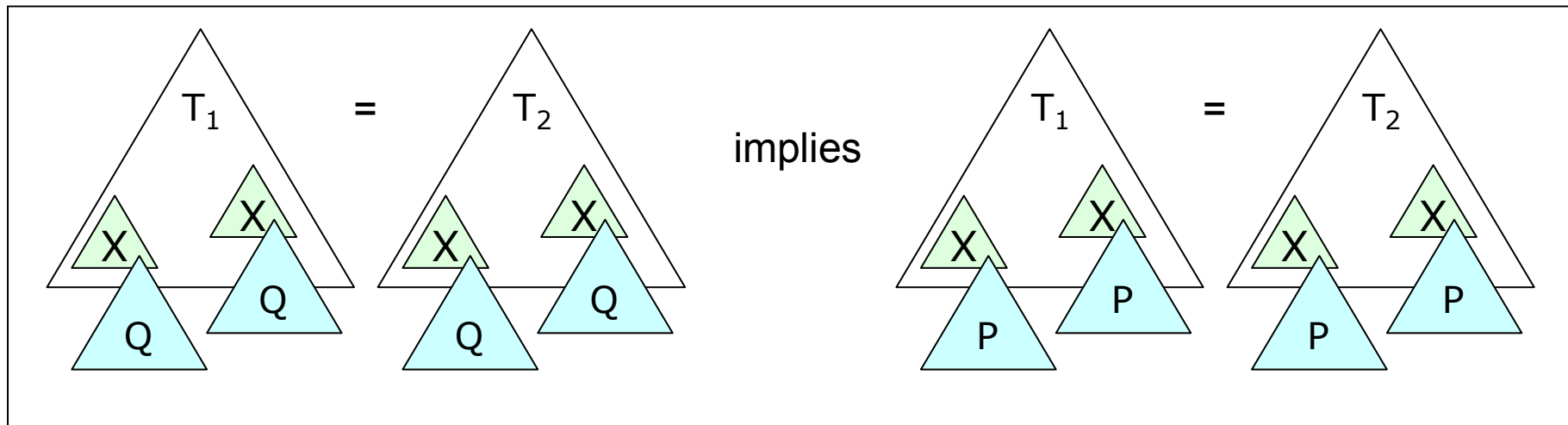
$$\begin{aligned} & \text{insert } (S(X+Y)) \text{ (insert } X \text{ T)} \\ &= \text{insert } X \text{ (insert } (X+Y) \text{ T)}. \end{aligned}$$



Relation between update and equality

update_and_equality :

update X Q T1 = update X Q T2
-> update X P T1 = update X P T2



the intuition behind this lemma is that in narrowing we change from " $\Gamma_1, X <: Q, \Gamma_2$ " to " $\Gamma_1, X <: P, \Gamma_2$ " and so need to update the label of each occurrence of X in Γ_2 .

b) Properties of unsafe subtyping

Statements of properties about unsafe subtyping

insert_preserves_sub :

$$T1 <\alpha T2 \rightarrow (\text{insert } X \ T1) <\alpha (\text{insert } X \ T2)$$

weaken_preserves_sub :

$$T1 <\alpha T2 \rightarrow (\text{weaken } X \ T1) <\alpha (\text{weaken } X \ T2)$$

sub_reflexivity :

$$T <\alpha T$$

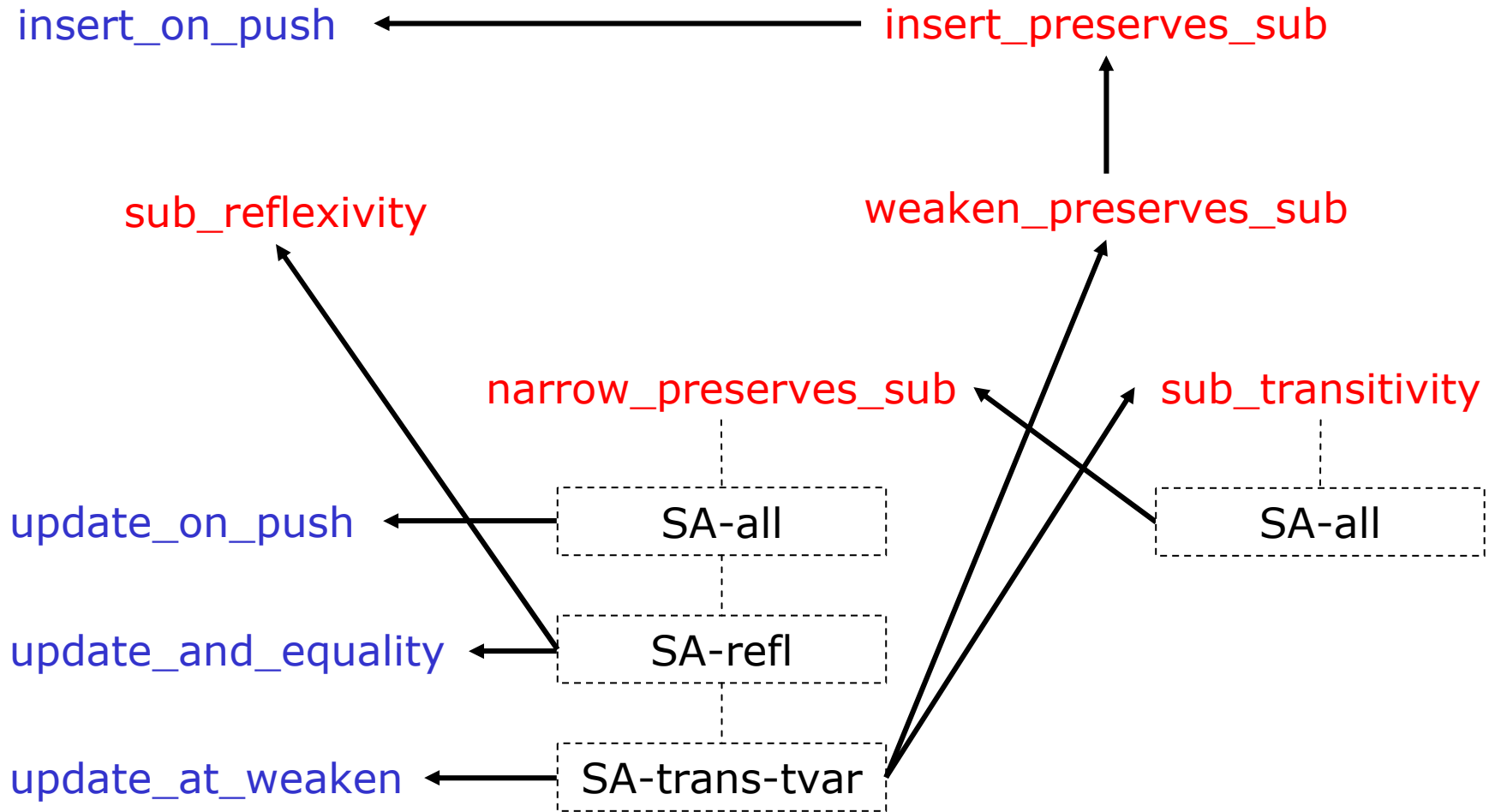
narrowing_preserves_sub :

$$\begin{aligned} &(\text{update } X \ Q \ S) <\alpha (\text{update } X \ Q \ T) \rightarrow P <\alpha Q \rightarrow \\ &(\text{update } X \ P \ S) <\alpha (\text{update } X \ P \ T) \end{aligned}$$

sub_transitivity :

$$S <\alpha Q \rightarrow Q <\alpha T \rightarrow S <\alpha T$$

Proof graph for results about unsafe subtyping



3) Structure of the solution

Structure of the solution (not including tactics)

